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0001/PTO U.S. Department of 0 Rev. 10/95 Patent and Tradem		Application Number	10/600,888	3		
		Filing Date	June 20, 2	003		
TRANSMITTAL FORI	M	First Named Inventor	Kevin K.S.	. Fung		
(to be used for all correspondence during per filed application)	ndency of	Group Art Unit Number ·	Not yet kn	own		
*		Examiner Name	3624			
Total Number of Pages in This Submission	6 *	Attorney Docket Number	23876-080	31		
ENCLO	SURES	(check all that apply	()			
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Response to Notice to File Missing Parts		Formal Drawing(s		1		
Assignment & Recordation Cover Sheet		[] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and				
Declaration		Interferences	ication to board	u oi Appeais and		
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Power of Attorney		(Appeal Notice, Brief, Reply Brief)				
Application Data Sheet	2/05/004					
Information Disclosure Statement & PTC		Certified Copy of				
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Attorney/Reg. No.: Michael W. Farn, Reg.	No. 41,015	5	Dated:	JUE 23,2004		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LICANTS:

Kevin K.S. Fung

APPLICATION NO.:

10/600,888

FILING DATE:

June 20, 2003

TITLE:

Settlement of Auctions Using Complete Sets and Separate Price

and Quantity Determination

EXAMINER:

Not yet known

GROUP ART UNIT:

3624

ATTY. DKT. NO::

23876-08031

CERTIFICATE OF MAILING

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SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each non-U.S. patent listed reference that may be material to the examination of this application, and for which there may be a duty to disclose. Please advise if you wish to have copies of any listed U.S. patent(s).

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

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	into the national stage	of an international application, or before the mailing
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	Applicant cer	tifies pursuant to 37 CFR § 1.97(e) that:
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			•	CFR.§	1.97(c); C	DR
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						espectfully submitted,
				٠,	K	EVIN K.S. FUNG
Dated:	•	JUNE	23,2	2004	В	y: Whall I
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PTO/SB/08A (10-01)

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	Substitute for form 1449A/PTO			Complete if Known			
INEC		ı Die	CI OSLIDE	Application No.	10/600,888		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	June 20, 2003		
				First Named Inventor	Kevin K.S. Fung		
				Art Unit	3624		
	•			Examiner Name	Not yet known		
Sheet	1	of	2	Attorney Docket Number	23876-08031		

	U.S. PATENT DOCUMENTS							
		Document No.						
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document				
		US-2001/0032175 A1	10-18-2001	Holden et al.				
		US-2001/0034687 A1	10-25-2001	Bushonville et al.				
		US-2001/0034691 A1	10-25-2001	Guarino et al.				
		US-2002/0026401 A1	02-28-2002	Hueler				
		US-2002/0038273 A1	03-28-2002	Wherry et al.				
		US-2002/0095369 A1	07-18-2002	Kaplan et al.				
		US-2002/0156719 A1	10-24-2002	Finebaum et al.				
		US-2002/0198816 A1	12-26-2002	Gilbert et al.				
		US-6304858 B1	10-16-2001	Mosler et al.				
		US-6321212 B1	11-20-2001	Lange				
		US-6390472 B1	05-21-2002	Vinarsky				

	FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document						
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁵			
	1	CN 1315709 A	10-03-2001	Future Circulation Network Co. Ltd.				
	2	WO 02/056146 A2	07-18-2002	Vertical Crossings.com, Inc.				
	3	WO 02/13111 A1	02-14-2002	The Debt Exchange, Inc.				
	4	WO 02/37390 A2	05-10-2002	Liquidity Direct				
-	5	WO 0246999 A2	06-13-2002	Semicon Exchange Pte. Ltd.				
	6	WO 03050654 A2	06-19-2003	Bank One				

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INEO		יפוח ו	CLOSURE	Application No.	10/600,888		
				Filing Date	June 20, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Kevin K.S. Fung		
		•		Art Unit	3624		
				Examiner Name	Not yet known		
Sheet .	2	of	2	Attorney Docket Number	23876-08031		

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	
	7	Betfair Rules and Regulations web page, 2 pages [online], [retrieved on 2003-03-18]. Retrieved from the Internet <url: about="" http:="" rulesandregulations.asp="" www.betfair.com="">.</url:>		
	8	Intrade home web page, 2 pages [online], [retrieved on 2002-06-11]. Retrieved from the Internet <url: http:="" www.intrade.com="">.</url:>		

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